



Elaine F. Marshall, Secretary of State

Solicitor Registration Statement 2017
NO REGISTRATION FEE REQUIRED

Solicitor Information

Complete Name of Solicitor: _____

Complete Name of Firm or Organization of Solicitor (If Applicable): _____

Complete Name and Title of Authorized Representative of Solicitor: _____

Mailing Address of Authorized Representative of Solicitor: _____

Physical Address of Authorized Representative of Solicitor: _____

Weekday Telephone for Authorized Representative of Solicitor: _____

Fax No.: _____ E-Mail Address: _____

Signature of Representative Authorized to Sign for Solicitor

_____ Date

Name & Title of Representative Authorized to Sign for Solicitor

_____ Date

☐ The Division will send notices regarding filing due dates electronically at no charge. If you desire to receive notices from our office in another format, please indicate that by checking the box and you will be invoiced according to G.S. § 132-1(b).

CERTIFICATION

I hereby certify that all information disclosed in this "Solicitor Registration Statement 2017" is true, complete and correct in accordance with G.S. §120C-215. By signing this certification, I understand I have an affirmative duty to understand and to comply with the Lobbying Law and the rules, including filing reports, as the law requires.

SIGNATURE OF PERSON AUTHORIZED TO SIGN FOR SOLICITOR

DATE

Instructions

1. Do Not Use This Form If You Are Registering For Economic Information Protection.

2. Registration triggers reporting obligations. **Quarterly reports must be filed regardless of whether you have any reportable expenditures.**
3. "Solicitation of others" is the act of asking the public to communicate directly with designated individuals in order to influence or attempt to influence legislative or executive action in order to further the purposes of those who are doing the soliciting. G.S. 120C-100(a) (13).
4. Types of communication that triggers solicitation is an ad in print media, a television ad (including cable and satellite), e-mail or a Web site posting, a mailed letter or flyer, phone calls, and finally, communicating with the public at a conference, meeting, or similar event.
5. Registration and reporting by solicitors are triggered when the total expense for solicitation of others exceeds \$3,000.00 during any 90-day period. These expenses include the cost of producing and transmitting the communication. If the communication is made at a conference, meeting, or similar event, then the solicitor must include the costs of planning, hosting, sponsoring, and attending the event.
6. A solicitor cannot be a registered lobbyist or principal.
7. If you do not provide an email address on this form and do not mark the box to receive notices in another format (page 1 of this document), we are under no obligation to inform you of report due dates, form changes, or any other information we provide via electronic methods.
8. **FILING SUBMISSION:**

Each required filing shall be submitted to the Department by the following method:

- (a) Electronically via the Secretary of State site: www.sosnc.com; Lobbying Compliance Division through a profile and document upload. Provided the original signed document is postmarked or delivered to the Department within seven days following the Department's receipt of the transmission. A filing for which the original is not postmarked or delivered to the Department within seven days following the Department's receipt of the transmission is void.
- (b) By United States mail at the following address:

Lobbying Compliance Division
Dept. of the Secretary of State
P. O. Box 29622
Raleigh, N.C. 27626-0622

- (c) By hand-delivery or by a designated delivery service authorized pursuant to G.S. §1A-1, Rule 4 at the following street address:

Lobbying Compliance Division
Dept. of the Secretary of State
2 S. Salisbury Street
Raleigh, N.C. 27601-2903

- (d) **How to e-file your Registration:** E-file by using the Lobbying Compliance Division Portal on our website, <https://www.secretary.state.nc.us/lobbyists/security.aspx>. Please send the hard copy to the Department within seven days or your registration will be void.